

## **Budget Category Process Explanation**

Effective July 1, 2011, all active claims in Priority Classes A, B, and C will begin implementation of a pre-approved annual budget and scope of work (SOW). In order to begin annual budgets next July 1, 2010, Fund technical staff has placed each active A, B, and C claim in one of five budget categories. Budget categories generally correspond to corrective action work phases:

- SWI = Soil and Groundwater Investigation;
- RS/IRA = Remedy Selection and/or Interim Remedial Action;
- CAP/REM = Active Remediation based on an approved CAP;
- VM = Verification Monitoring following remediation and/or long-term monitoring;  
and
- NFA = No Further Action.

Fund technical staff has used the following kinds of information to place each claim in a budget category (including but not limited to):

- Assessment of most recent documents in Geotracker such as:
  - Monitoring Reports
  - Corrective Action Plans (CAP)
  - Remedial Action Start-Up Reports
  - Operation and Maintenance Reports
  - Regional Board Orders
  - No Further Action Letters
- Evaluation of latest Five Year Review conducted for the site; and
- Contact with consultants or claimants when Geotracker information appeared incomplete or out of date.

The initial Budget Category list for active A, B and C claims has been posted at [http://www.waterboards.ca.gov/water\\_issues/programs/ustcf/technicalformsinfo.shtml](http://www.waterboards.ca.gov/water_issues/programs/ustcf/technicalformsinfo.shtml). As soon as possible, all claimants and consultants should review this list for accuracy. If you believe the Fund has placed your claim in an incorrect category, please use the “**Request for Budget Category Change**” form provided with the “**Budget Category Table**” to appeal the claim’s category. **Within 20 calendar days** of this posting, send the appeal to the USTCF web address at [USTCleanupFund@waterboards.ca.gov](mailto:USTCleanupFund@waterboards.ca.gov). If you do not reply in 20 days, the Fund will consider the assigned budget category for a claim to be correct for the next fiscal year beginning on July 1, 2011.

In late October, 2010, after review of requests for budget category changes, a finalized annual budget category table will be posted. In addition, the annual budget allotment for each category for the 2011-2012 fiscal year will be posted. The annual budget allotment will be based on the current projection of revenue for next fiscal year. Additional annual budget instructions will be provided at that time. Please note that Priority Class D claims are already limited to 14% minimum by statute, and have therefore been excluded from the categorization and budget allocation process for now.